

AMENDMENT TRANSMITTAL LETTER (Small Entity)

Applicant(s): Brian C. TARBOX et al.

Docket No.

854737.0005 (TARB-101.1)

Serial No.
10/073,632Filing Date
February 11, 2002

Examiner

ELLA COLBERT

Group Art Unit

2104 3624

Invention: SYSTEMS AND METHODS FOR IMPROVING INVESTMENT PERFORMANCE

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.

A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.

The fee has been calculated and is transmitted as shown below.

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CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	62 -	20 =	42	x \$9.00	\$378.00
INDEP. CLAIMS	11 -	5 =	6	x \$42.00	\$252.00
Multiple Dependent Claims (check if applicable)		<input type="checkbox"/>			\$0.00
					TOTAL ADDITIONAL FEE FOR THIS AMENDMENT
					\$630.00

No additional fee is required for amendment.

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A check in the amount of \$630.00 to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 11-0231
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Any additional filing fees required under 37 C.F.R. 1.16.

Any patent application processing fees under 37 CFR 1.17.

Anna A. Kobilansky
Signature

Dated: July 15, 2003

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01 FC:2201 252.00 00
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Brian C. Tarbox et al.) Examiner: *3624*
Serial No.: 10/073,632) Group Art Unit: *2164*
Filed: February 11, 2002) Confirmation No.: 6664
For: SYSTEMS AND METHODS FOR)
IMPROVING INVESTMENT)
PERFORMANCE)

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PRELIMINARY AMENDMENT

Sir:

Prior to the examination of the above-referenced application, please amend this application as follows: